

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
DC4979

In Re Application Of: Michael Salvatore, Zuchen Lin, and Janet Smith

JUL 23 2002

Group Art Unit

1714

Serial No.

10/017,976

Filing Date

December 13, 2001

Examiner

Title: **ENCAPSULATION OF ACTIVES IN CORE-SHELL AND GEL PARTICLES**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

RECEIVED  
JUL 26 2002  
TECHNOLOGY CENTER 1700

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
DC4979

In Re Application: Michael Salvatore, Zuchen Lin, and Janet Smith

Serial No.

17,976

Filing Date

December 13, 2001

Examiner

Group Art Unit

1714

**ENCAPSULATION OF ACTIVES IN CORE-SHELL AND GEL PARTICLES**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

*Alan Zombeck*  
Signature

Dated:

7/19/02

Alan Zombeck  
(989) 496-3101



00137

PATENT TRADEMARK OFFICE

CC:

RECEIVED  
JUL 26 2002  
TECHNOLOGY CENTER 1700

1714

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Docket No.

DC4979

Inventor(s): Michael Salvatore, Zuchen Lin, and Janet Smith

Serial No.

10/017,976

Filing Date

December 13, 2001

Examiner

Group Art Unit

1714

Invention: **ENCAPSULATION OF ACTIVES IN CORE-SHELL AND GEL PARTICLES**



I hereby certify that this Info. Disc, PTO1449, Prior Art, ISR, Post Card  
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The  
Assistant Commissioner for Patents, Washington, D.C. 20231 on July 19, 2002  
(Date)

Shelly K. Marshall

(Typed or Printed Name of Person Mailing Correspondence)

Shelly K. Marshall  
(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

RECEIVED  
JUL 26 2002  
TECHNOLOGY CENTER 1700